

S/N 10/054,665



PATENT

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicant:	John F. Engelhardt et al.	Examiner:	David Guzo
Serial No.:	10/054,665	Group Art Unit:	1636
Filed:	January 22, 2002	Docket:	875.007US2
Title:	ADENO-ASSOCIATED VIRUS VECTORS		

SUPPLEMENTAL INFORMATION DISCLOSURE STATEMENT

MS Amendment
Commissioner for Patents
P.O. Box 1450
Alexandria, VA 22313-1450

In compliance with the duty imposed by 37 C.F.R. § 1.56, and in accordance with 37 C.F.R. §§ 1.97 *et. seq.*, the enclosed materials are brought to the attention of the Examiner for consideration in connection with the above-identified patent application. Applicants respectfully request that this Supplemental Information Disclosure Statement be entered and the documents listed on the attached Form 1449 be considered by the Examiner and made of record. Pursuant to the provisions of MPEP 609, Applicants request that a copy of the 1449 form, initialed as being considered by the Examiner, be returned to the Applicants with the next official communication.

Pursuant to 37 C.F.R. §1.97(c)(2), Applicants have included the fee of \$180.00 as set forth in 37 C.F.R. §1.17(p). Please charge any additional fees or credit any overpayment to Deposit Account No. 19-0743.

Four of the attached documents were discovered as a result of an International Search Report in Applicant's related foreign patent application. These patents are: WO 01/083692, US 2002/0131956, US 6,156,303 and US 6,287,569. Enclosed for the Examiner's information is a copy of these cited documents and the International Search Report.

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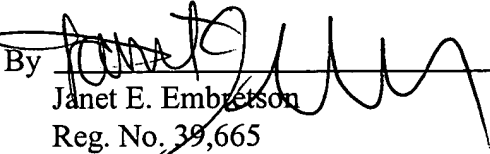
The Examiner is invited to contact the Applicants' Representative at the below-listed telephone number if there are any questions regarding this communication.

Respectfully submitted,

JOHN F. ENGELHARDT ET AL.

By their Representatives,

SCHWEGMAN, LUNDBERG, WOESSNER & KLUTH, P.A.
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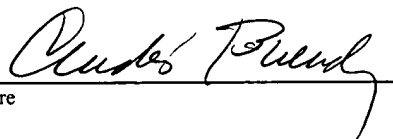
Date September 16, 2004 By 
Janet E. Embretson
Reg. No. 39,665

CERTIFICATE UNDER 37 CFR 1.8: The undersigned hereby certifies that this correspondence is being deposited with the United States Postal Service with sufficient postage as first class mail, in an envelope addressed to: MS Amendment, Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450, on this 16 day of September, 2004.

CANDIS BUENDING

Name

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COMMUNICATION CONCERNING RELATED APPLICATIONS

Commissioner for Patents
P.O. Box 1450
Alexandria, VA 22313-1450

Applicants would like to bring to the Examiner's attention the following related applications in the above-identified patent application:

<u>Serial/Patent No.</u>	<u>Filing Date</u>	<u>Attorney Docket</u>	<u>Title</u>
6436392	March 25, 1999	875.007US1	ADENO-ASSOCIATED VIRUS VECTORS
09/684554	October 6, 2000	875.024US1	ADENO-ASSOCIATED VIRUS VECTORS AND USES THEREOF
09/689136	October 12, 2000	875.032US1	COMPOUNDS AND METHODS TO ENHANCE rAAV TRANSDUCTION

Respectfully submitted,

JOHN F. ENGELHARDT ET AL.

By Applicants' Representatives,
SCHWEGMAN, LUNDBERG, WOESSNER & KLUTH, P.A.
P.O. Box 2938
Minneapolis, MN 55402
(612) 373-6959

Date

September 16, 2004

By

[Signature]
Janet E. Emberson

Reg. No. 39,665

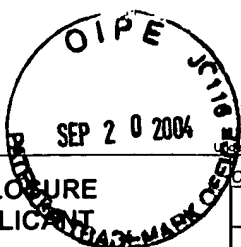
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CANDIS BUENDING

Name

Signature

[Signature]



Substitute for form 1449A/PTO

**INFORMATION DISCLOSURE
STATEMENT BY APPLICANT**

(Use as many sheets as necessary)

Under the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it contains a valid OMB control number.

Complete if Known

Application Number	10/054,665
Filing Date	January 22, 2002
First Named Inventor	Engelhardt, John
Group Art Unit	1636
Examiner Name	Guzo, David

Sheet 1 of 3

Attorney Docket No: 875.007US2

US PATENT DOCUMENTS

Examiner Initial *	USP Document Number	Publication Date	Name of Patentee or Applicant of cited Document	Class	Subclass	Filing Date If Appropriate
	US-2002/0131956 A1	09/19/2002	Walsh, C. E., et al.	424	93.2	03/12/2002
	US-2003/0103939 A1	06/05/2003	Engelhardt, J. E., et al.	424	93.2	07/12/2002
	US-5,604,090	02/18/1997	Alexander, Ian E., et al.	435	5	06/06/1994
	US-5,834,182	11/10/1998	Alexander, Ian E., et al.	435	5	02/25/1997
	US-6,156,303	12/05/2000	Russell, D. W., et al.	424	93.2	06/11/1997
	US-6,287,569	09/11/2001	Kipps, T. J., et al.	424	199.1	04/06/1998
	US-6,436,392	08/20/2002	Engelhardt, John F., et al.	424	93.2	03/25/1999
	US-6,544,786	04/08/2003	Xiao, Xiao , et al.	435	325	10/13/2000

FOREIGN PATENT DOCUMENTS

Examiner Initials*	Foreign Document No	Publication Date	Name of Patentee or Applicant of cited Document	Class	Subclass	T ²
	WO-95/15384A1	06/08/1995	Johnson, D. C., et al.	C12 N	15/38	
	WO-98/24479A1	06/11/1998	Snyder, R., et al.	A61K	48/00	
	WO-00/75365A2	12/14/2000	Engelhardt, J. F., et al.	C12 Q	1/00	
	WO-01/25465A1	04/12/2001	Engelhardt, J. F., et al.	C12 N	15/864	
	WO-01/83692A2	11/08/2001	Hildinger, M. , et al.	C12 N		
	WO-03/006616A2	01/23/2003	Engelhardt, J. F., et al.	C12 N		

OTHER DOCUMENTS -- NON PATENT LITERATURE DOCUMENTS

Examiner Initials*	Cite No ¹	Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city and/or country where published.	T ²
		"PCT International Search Report from International Application No. PCT/US02/21926", (10/15/2002), 4 pages	
		BARTLETT, J S., et al., "Targeted adeno-associated virus vector transduction of nonpermissive cells mediated by a bispecific F(ab'gamma)2 antibody", <u>Nature Biotechnology</u> , 17, (1999), pp. 181-186	

EXAMINER

DATE CONSIDERED

Substitute Disclosure Statement Form (PTO-1449)

* EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 809. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant. ¹ Applicant's unique citation designation number (optional) ² Applicant is to place a check mark here if English language Translation is attached

Substitute for form 1449A/PTO INFORMATION DISCLOSURE STATEMENT BY APPLICANT <i>(Use as many sheets as necessary)</i>	Complete if Known <table border="1" style="width: 100%; border-collapse: collapse;"> <tr> <td style="width: 40%;">Application Number</td> <td>10/054,665</td> </tr> <tr> <td>Filing Date</td> <td>January 22, 2002</td> </tr> <tr> <td>First Named Inventor</td> <td>Engelhardt, John</td> </tr> <tr> <td>Group Art Unit</td> <td>1636</td> </tr> <tr> <td>Examiner Name</td> <td>Guzo, David</td> </tr> </table>	Application Number	10/054,665	Filing Date	January 22, 2002	First Named Inventor	Engelhardt, John	Group Art Unit	1636	Examiner Name	Guzo, David
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		CHU, Q , et al., "Binding and uptake of Cationic Lipid: pDNA Complexes by Polarized Airway Epithelial Cells", <u>Human Gene Therapy</u> , 10, (1999),pp. 25-36	
		COONROD, A , et al., "On the mechanism of DNA transfection: efficient gene transfer without viruses", <u>Gene Therapy</u> , 4, (1997),pp. 1313-1321	
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		FASBENDER, AL , et al., "Complexes of adenovirus with polycationic polymers and cationic lipids increase the efficiency of gene transfer in vitro and in vivo", <u>The Journal of Biological Chemistry</u> , 272 (10), (March 7, 1997),6479-6489	
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		LEBKOWSKI, J. , "Adeno-Associated Virus: a Vector System for Efficient Introduction and Integration of DNA into a Variety of Mammalian Cell Types", <u>Molecular and Cellular Biology</u> , Vol. 8, No. 10,(October 1988),3988-3996	
		LIANG, E. , et al., "Oligonucleotide delivery: a cellular prospective", <u>Pharmazie</u> , Vol. 54,No. 8, XP000965598, (Aug. 1999),559-566	
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		RUSSELL, D W., et al., "DNA synthesis and topoisomerase inhibitors increase transduction by adeno-associated virus vectors", <u>PNAS</u> , 92, (1995),pp. 5719-5723	
		SANLIOGLU, S , et al., "Cellular redox state alters recombinant adeno-associated virus transduction through tyrosine phosphatase pathways", <u>Gene Therapy</u> , 6, (1999),pp. 1427-1437	
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		ZABNER, J , et al., "Adenovirus-mediated generation of cAMP-stimulated Cl-transport in cystic fibrosis airway epithelia in vitro: effect of promoter and administration method", <u>Gene Therapy</u> , 3, (1996),pp. 458-465	

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